# Codes in the $q$-ary Lee Hypercube 

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#### Abstract

Let $F_{q}=\{0,1, \ldots, q-1\}$ be an alphabet of size $q$, so that $F_{q}^{n}$ is the $q$-ary hypercube of dimension $n$. Let $x=\left(x_{1}, \ldots, x_{n}\right)$ and $y=\left(y_{1}, \ldots, y_{n}\right)$ be two elements in $F_{q}^{n}$. The Lee distance between $x$ and $y$ is equal to $\sum_{i=1}^{n} \min \left(\left|x_{i}-y_{i}\right|, q-\left|x_{i}-y_{i}\right|\right)$. Let $C \subseteq F_{q}^{n} ; C$ is called a code. Given an integer radius $r \geqslant 1$, we consider three types of codes with respect to the Lee distance: an $r$-dominating code $C$ (also called an $r$-covering code) is such that any element $x \in F_{q}^{n}$ is within distance $r$ from at least one codeword $c \in C$ (then $c r$-dominates $x$ ); an $r$ -locating-dominating code $C$ is (i) $r$-dominating and (ii) such that any two vertices $x, y$ in $F_{q}^{n} \backslash C$ are $r$-dominated by distinct sets of codewords; an $r$-identifying code $C$ is (i) $r$-dominating and (ii) such that any two vertices $x, y$ in $F_{q}^{n}$ are $r$-dominated by distinct sets of codewords. We look for minimum such codes. For the above three types of codes, we give tables of upper bounds on their smallest cardinalities, for alphabet size $q \in\{4,5,6\}$, dimension $n$ up to 7 , and radius $r$ up to 5 . These bounds are obtained mainly by using different heuristics (greedy, descent, noising). We conclude with conjectures and open problems.


Key-Words: Dominating codes, Covering codes, Locating-dominating codes, Identifying codes, Hamming distance, Lee distance, $q$-ary hypercube, Graph theory, Combinatorial optimization, Heuristics.

Received: May 17, 2021. Revised: February 16, 2022. Accepted: March 15, 2022. Published: April 13, 2022.

## 1 Introduction

We consider the $q$-ary hypercube of dimension $n$, endowed with the Lee distance and, using different heuristics, we search for subsets of vertices, with the smallest possible sizes, satisfying different properties that we are now going to define in the more general setting of a finite, undirected, connected graph $G=(V, E)$.

### 1.1 General notation and definitions

For a given integer radius $r \geqslant 1$ and a given distance $d$, the closed $r$-neighbourhood of a vertex $v \in V$ is the set $N_{r}[v]=\{u \in V: 0 \leqslant d(u, v) \leqslant r\}$.

A code is simply a subset of $V$, whose elements are called codewords.

Two vertices within distance $r$ from each other are said to $r$-dominate each other. A vertex is $r$ dominated by a code $C$ if it is $r$-dominated by at least one codeword. Two vertices $x$ and $y$ are $r$-separated by a vertex $z$ if we have $z \in N_{r}[x]$ and $z \notin N_{r}[y]$ or if we have $z \in N_{r}[y]$ and $z \notin N_{r}[x]$ (note that $z$ can be equal to $x$ or $y$ ). Two vertices $x$ and $y$ are $r$-separated by a code $C$ if they are $r$-separated by at least one codeword.

Definition 1. An $r$-dominating code ( $r$ - D code for short) is a code $C \subseteq V$ such that any vertex has at least one codeword at distance at most $r$ :

$$
\forall v \in V, \exists c \in C \text { such that } d(v, c) \leqslant r
$$

Equivalently, every vertex is $r$-dominated by $C$, or $\cup_{c \in C} N_{r}[c]=V$, which implies:

$$
\begin{equation*}
\sum_{c \in C}\left|N_{r}[c]\right| \geqslant|V| . \tag{1}
\end{equation*}
$$

Dominating codes constitute a wide topic in graph theory, explored mostly in the case $r=1$. See, e.g., [16], [17].

Definition 2. An $r$-locating-dominating code ( $r$-LD code for short) is an $r$-D code $C \subseteq V$ which moreover satisfies:

$$
\begin{aligned}
\forall v_{1} \in V \backslash C, \forall v_{2} \in & V \backslash C, v_{1} \neq v_{2}: \\
& N_{r}\left[v_{1}\right] \cap C \neq N_{r}\left[v_{2}\right] \cap C .
\end{aligned}
$$

Equivalently, a code $C$ is an $r$-LD code if $C$ is $r$-dominating and $r$-separates all the pairs of noncodewords. An $r$-LD code always exists in $G$ (e.g., $C=V)$, and we can see that the previous definition states that every non-codeword is characterized (or located) by the set of codewords $r$-dominating it.

Definition 3. An $r$-identifying code ( $r$-Id code for short) is an $r$-D code $C \subseteq V$ which moreover satisfies:

$$
\begin{aligned}
& \forall v_{1} \in V, \forall v_{2} \in V, \quad v_{1} \neq v_{2}: \\
& \quad N_{r}\left[v_{1}\right] \cap C \neq N_{r}\left[v_{2}\right] \cap C .
\end{aligned}
$$

Equivalently, a code $C$ is an $r$-Id code if $C$ is $r$ dominating and $r$-separates all the pairs of vertices. Here, every vertex, whether it is a codeword or not,
is characterized (or identified) by the codewords $r$ dominating it. It is easy to see that an $r$-Id code exists in $G$ if and only if we have:

$$
\forall v_{1} \in V, \forall v_{2} \in V, v_{1} \neq v_{2}: N_{r}\left[v_{1}\right] \neq N_{r}\left[v_{2}\right] .
$$

Locating-dominating codes were introduced in 1983 [30], but for more easily accessible sources, see [26] or [8]. The term "identifying code" is used in [20], which certainly marks the starting point for the blossoming of works on this topic, but the concept is already contained in [27]. For both locatingdominating and identifying codes, see the ongoing bibliography at [23].

We usually search for the smallest possible codes and introduce the notation $\gamma_{r}(G), \mathrm{LD}_{r}(G)$, and $\operatorname{Id}_{r}(G)$ for the smallest sizes of an $r$-D, an $r$-LD, and an $r$-Id code (when there is one), respectively. Any code with this size is called optimal with respect to the desired property. Obviously, every $r$-Id code is $r$-LD and every $r$-LD code is an $r$-D code; hence:

$$
\begin{equation*}
\gamma_{r}(G) \leqslant \operatorname{LD}_{r}(G) \leqslant \operatorname{Id}_{r}(G) \tag{2}
\end{equation*}
$$

### 1.2 The $q$-ary Lee hypercube of dimension $n$

We now restrict our attention to a specific class of graphs.

Let $q$ be an integer, $q \geqslant 2$. Let $F_{q}=\{0, \ldots, q-1\}$ be an alphabet of size $q$. All calculations will be modulo $q$. The $q$-ary hypercube of dimension $n$, denoted $F_{q}^{n}$, is the Cartesian product of $F_{q}$, carried out $n$ times: its elements are the $q^{n}$ vertices or vectors $v=\left(v_{1}, v_{2}, \ldots, v_{n}\right)$, where $v_{i} \in F_{q}$ for $1 \leqslant i \leqslant n$. One can define two distances on $F_{q}^{n}$ :

- the Hamming distance between $u=\left(u_{1}, u_{2}, \ldots, u_{n}\right)$ and $v=\left(v_{1}, v_{2}, \ldots, v_{n}\right)$ in $F_{q}^{n}$ is given by:

$$
d_{H}(u, v)=\left|\left\{i \in\{1,2, \ldots, n\}: u_{i} \neq v_{i}\right\}\right|
$$

or equivalently $d_{H}(u, v)=\sum_{i=1}^{n} \min \left\{1,\left|u_{i}-v_{i}\right|\right\}$;

- the Lee distance between $u=\left(u_{1}, u_{2}, \ldots, u_{n}\right)$ and $v=\left(v_{1}, v_{2}, \ldots, v_{n}\right)$ in $F_{q}^{n}$ is given by:

$$
d_{L}(u, v)=\sum_{i=1}^{n} \min \left\{\left|u_{i}-v_{i}\right|, q-\left|u_{i}-v_{i}\right|\right\} .
$$

Note that both distances coincide for $q \in\{2,3\}$. If we draw edges between any two vectors which are at distance one, we obtain, for given $q$ and $n$, two graphs, according to the distance we consider. We shall use the obvious notation $H_{q}^{n}$ and $L_{q}^{n}$; by our previous observation, we have $H_{2}^{n}=L_{2}^{n}$ and $H_{3}^{n}=L_{3}^{n}$.
Example. For $r=2$ in $L_{4}^{2}$ :

- $C=\{(0,0) ;(2,1)\}$ is a 2-D code (for instance, $(3,2)$ is 2-dominated by $(2,1)$ ) but not a $2-L D$ code:
for instance, $(1,1)$ and $(3,0)$ are not 2 -separated by $C$ since they are both 2-dominated by $(0,0)$ and $(2,1)$, nor a 2-Id code; hence $\gamma_{2}\left(L_{4}^{2}\right) \leqslant 2$;
- $C=\{(0,1) ;(1,2) ;(2,0) ;(2,1) ;(3,1)\}$ is a 2 LD code but not a 2 -Id code: for instance $(2,1)$ and $(1,1)$ are both 2 -dominated by the five codewords and thus are not 2-separated by $C$; hence $\mathrm{LD}_{2}\left(L_{4}^{2}\right) \leqslant 5$;
- $C=\{(0,0) ;(0,1) ;(0,2) ;(1,0) ;(2,0) ;(3,3)\}$ is a 2-Id code; hence $\operatorname{Id}_{2}\left(L_{4}^{2}\right) \leqslant 6$.

These three codes are optimal, see Table 2.
The study of $r$-dominating codes in $H_{q}^{n}$ (these codes are also called $r$-covering codes or simply $r$ coverings in this case) is of importance for coding and information theory, see [7] and its large bibliography, updated at [22]; the case $q=3$ can lead to applications for football bets, see, e.g., [15]. Also in $H_{q}^{n}$, results on "good" locating-dominating and identifying codes can be found in, among many others, [18], [3], [12], [10]. Much less is known when considering $L_{q}^{n}$ for $q \geqslant 4$, see [1], [20], [21], [9], [28], [11].

From now on, we consider only the Lee distance, that is, the graph $L_{q}^{n}$ with $q \geqslant 4$. Due to the regularity of the graph, the size of the $r$-neighbourhood is the same for all vertices; we denote by $\mathcal{V}_{n, r, q}$ the size of $N_{r}[v]$ in $L_{q}^{n}$, where $v$ is any vertex.

Consider $v=\left(v_{1}, v_{2}, \ldots, v_{n}\right) \in L_{q}^{n}$. When $q$ is even, the vertex $\left(v_{1}+\frac{q}{2}, v_{2}+\frac{q}{2}, \ldots, v_{n}+\frac{q}{2}\right)$ is at Lee distance $\delta_{e}=n \times \frac{q}{2}$ from $v$, and all other vertices are at distance at most $\delta_{e}-1$ from $v$. When $q$ is odd, the $2^{n}$ vertices $\left(v_{1}+\frac{q \pm 1}{2}, v_{2}+\frac{q \pm 1}{2}, \ldots, v_{n}+\frac{q \pm 1}{2}\right)$ are at distance $\delta_{o}=n \times \frac{q-1}{2}$ from $v$, and the remaining vertices are at distance at most $\delta_{o}-1$.

As a first consequence, if $n, q$ and $r$ are such that $r \geqslant \delta_{e}$ for even $q$, or $r \geqslant \delta_{o}$ for odd $q$, then all vertices are within distance $r$ from each other in $L_{q}^{n}$. Then obviously:
Remark 1. If $n, q$ and $r$ are such that $r \geqslant n \times \frac{q}{2}$ for even $q$, or $r \geqslant n \times \frac{q-1}{2}$ for odd $q$, then $\gamma_{r}\left(L_{q}^{n}\right)=1$, $\mathrm{LD}_{r}\left(L_{q}^{n}\right)=q^{n}-1$ and $L_{q}^{n}$ admits no $r$-identifying code. Otherwise, it is easy to see that $L_{q}^{n}$ admits $r$ identifying codes.

Assume now that $q$ is even and $n, q$ and $r$ are such that $r=n \times \frac{q}{2}-1$. Then all vectors are within distance $r$ from each other, except for the pairs $\left(v_{1}, v_{2}, \ldots, v_{n}\right)$ and $\left(v_{1}+\frac{q}{2}, v_{2}+\frac{q}{2}, \ldots, v_{n}+\frac{q}{2}\right)$, which are at distance $r+1$ from one another. In other words, the $r$-th power (or $r$-transitive-closure) of $L_{q}^{n}$, denoted $\left(L_{q}^{n}\right)^{r}$, is the complete graph deprived of a perfect matching. Then it is not very difficult to see that $\gamma_{1}\left(\left(L_{q}^{n}\right)^{r}\right)=2, \operatorname{LD}_{1}\left(\left(L_{q}^{n}\right)^{r}\right)=\frac{q^{n}}{2}$ and $\operatorname{Id}_{1}\left(\left(L_{q}^{n}\right)^{r}\right)=q^{n}-1$, which in turns implies the following:
Remark 2. If $n, q$ and $r$ are such that $q$ is even and
$r=n \times \frac{q}{2}-1$, then $\gamma_{r}\left(L_{q}^{n}\right)=2, \operatorname{LD}_{r}\left(L_{q}^{n}\right)=\frac{q^{n}}{2}$ and $\operatorname{Id}_{r}\left(L_{q}^{n}\right)=q^{n}-1$.

Remark 3. Using (1) and (2) and Remarks 1 and 2, one obtains the following bounds:

$$
\begin{equation*}
\frac{q^{n}}{\mathcal{V}_{n, r, q}} \leqslant \gamma_{r}\left(L_{q}^{n}\right) \leqslant \operatorname{LD}_{r}\left(L_{q}^{n}\right) \leqslant \operatorname{Id}_{r}\left(L_{q}^{n}\right) \leqslant q^{n}-1 \tag{3}
\end{equation*}
$$

Our goal here is to use different heuristics, already used elsewhere for other optimization problems, in order to construct $r$-D, $r$-LD and $r$-Id codes as small as possible in $L_{q}^{n}$. As a result, for the above three types of codes, Tables 2,3 and 4 will provide upper bounds on their smallest cardinalities, for alphabet size $q \in\{4,5,6\}$, dimension $n$ up to 7 , and radius $r$ up to 5 . Define the density of a code $C$ as the ratio $|C| / q^{n}$ between the cardinality of $C$ and the number of vertices; Tables 5, 6 and 7 will provide the densities of the computed codes, also for alphabet size $q \in\{4,5,6\}$, dimension $n$ up to 7 , and radius $r$ up to 5 .

## 2 The methods for obtaining $r$-D, $r$-LD or $r$-Id codes

### 2.1 The combinatorial optimization problems

The combinatorial optimization problems that we want to solve are the following: given $n, r$ and $q$, minimize $|C|$ where $C$ is an $r$-D or an $r$-LD or an $r$-Id code in $L_{q}^{n}$. We call these problems $(n, r, q)$ DP, $(n, r, q)$-LDP and $(n, r, q)$-IdP respectively. In the general case of any graph, the decision problems associated with these problems are NP-complete (see [6], [14], [2] or [24] for more references).

In order to solve $(n, r, q)$-DP, $(n, r, q)$-LDP and $(n, r, q)$-IdP, we solve subproblems that we define now. For any subset $C$ of $V$, let $\alpha(C)$ be the number of vertices which are not $r$-dominated by $C, \zeta(C)$ be the number of pairs of vertices belonging to $V \backslash C$ which are not $r$-separated by $C$, and $\xi(C)$ be the number of pairs of vertices belonging to $V$ which are not $r$-separated by $C$. We set: $f_{D}(C)=\alpha(C)$, $f_{L D}(C)=\alpha(C)+\zeta(C)$ and $f_{I d}(C)=\alpha(C)+\xi(C)$. Observe that:

- $C$ is an $r$-D code if and only if $f_{D}(C)$ is equal to 0 ; - $C$ is an $r$-LD code if and only if $f_{L D}(C)$ is equal to 0 ;
- $C$ is an $r$-Id code if and only if $f_{I d}(C)$ is equal to 0 .

The subproblems associated with $(n, r, q)$-DP, $(n, r, q)$-LDP and $(n, r, q)$-IdP consist in generating codes $C$ and in trying to minimize $f_{D}(C), f_{L D}(C)$ or $f_{I d}(C)$ respectively. If we find a code $C_{0}$ with $f_{D}\left(C_{0}\right)=0, f_{L D}\left(C_{0}\right)=0$ or $f_{I d}\left(C_{0}\right)=0$, then $C_{0}$
is an $r$-D or an $r$-LD or an $r$-Id code respectively and we may try again with a smaller code.

In order to minimize $f_{D}, f_{L D}$ or $f_{I d}$, we apply a greedy algorithm, some metaheuristics (namely, a descent method and a noising method; for references on metaheuristics, see for instance [29] or [25] among many possibilities) and, for small instances, an exact enumerative method.

### 2.2 Greedy method

The greedy method performed here consists in adding new vertices successively to the code $C$ in construction until $C$ becomes an $r$-D or an $r$-LD or an $r$-Id code according to the studied problem. More precisely, at each step of the method, we choose to add one vertex; the chosen vertex is such that the decrease of $f_{D}$ for $(n, r, q)$-DP, of $f_{L D}$ for $(n, r, q)$-LDP, or of $f_{I d}$ for $(n, r, q)$-IdP is as large as possible. The aim of such additions of extra vertices is to obtain a value equal to 0 for $f_{D}, f_{L D}$ or $f_{I d}$, in which case the current code is an $r$-D or an $r$-LD or an $r$-Id code respectively.

We may start from the empty set for $C$. Other possibilities consist in starting from a random subset of $V$ or, for $(n, r, q)$-LDP and $(n, r, q)$-IdP, from an $r$ dominating set of $L_{q}^{n}$ (for instance, from the solution obtained for ( $n, r, q$ )-DP); this allows to save time but may build less good $r$-LD or $r$-Id codes (similarly, we may start from an $r$-LD code for $(n, r, q)$-IdP).

The greedy method can be improved thanks to the following two remarks.

1. For $(n, r, q)$-LDP or $(n, r, q)$-IdP, when a vertex $v$ is added to $C$, the complexity for evaluating the variations of $\zeta(C)$ or $\xi(C)$ is about $\mathcal{V}_{n, r, q} \times\left(q^{n}-\mathcal{V}_{n, r, q}\right)$. Another way to compute this variation consists in scanning a list containing the pairs of vertices which are not $r$-separated and, for each such pair $\{a, b\}$ of this list, in checking whether $v$ is a $r$-neighbour of $a$ but not of $b$ or of $b$ but not of $a$. The complexity is then about the number of pairs of vertices which are not $r$-separated. So, when this number becomes less than $\mathcal{V}_{n, r, q} \times\left(q^{n}-\mathcal{V}_{n, r, q}\right)$, we build an array containing the pairs of vertices which are not $r$-separated. Of course, we update this array when a vertex is added to the current code. This speeds up the greedy method, and even more and more as the method runs since the number of pairs of vertices which are not $r$-separated decreases (anyway, we cannot apply this trick from the beginning, because it usually requires a too large memory-space).
2. When we look for a vertex which maximizes the decrease of the objective function, we keep all the vertices yielding this maximum decrease in a list $L$. Let $\mu$ denote this maximum decrease. Then we go through the list $L$. For each vertex $v$ of $L$, if adding $v$ to the current code still allows to decrease the
objective function by $\mu$ with respect to the current code (this is necessarily the case for the first element of $L$ ), then $v$ is added to the current code before considering the next element of $L$. This avoids the computation of the maximal decrease at each step, which is usually long; thus this considerably speeds up the greedy algorithm, specially at the end of its run.

### 2.3 Descent method (iterative improvement method)

In order to improve the $r$-D or $r$-LD or $r$-Id code provided by the greedy algorithm (or by any other constructive method), we design a descent method (also called iterative improvement method, or still hill climbing method for a maximization problem).

We start from an $r$-D or an $r$-LD or an $r$-Id code $C$. We wish to decrease the cardinality of $C$ by removing vertices of $C$, one by one. For this, we first remove a vertex randomly chosen in $C$. We update the objective function $f_{D}, f_{L D}$ or $f_{I d}$ according to the problem. Then we try to restore an $r$ - D or an $r$-LD or an $r$-Id code without changing the current number of codewords. If we succeed, we apply the same process (i.e., removing a vertex) to the new $r$-D or $r$-LD or $r$-Id code.

In order to recover an $r$-D or an $r$-LD or an $r$ Id code, we perform elementary transformations (or local transformations) with respect to the current code $C$. An elementary transformation consists here in removing a vertex $u$ belonging to $C$ and, simultaneously, in adding a vertex $v$ which does not belong to $C$. The vertices $u$ and $v$ are randomly chosen. We say that the transformation is good if the objective function $f_{D}, f_{L D}$ or $f_{I d}$ does not increase (the variation of the objective function can be equal to 0 ); otherwise, we say that it is bad.

Observe a main difference with respect to a usual design of a descent method: in order to ensure the convergence of the process, a good transformation is quite often required to involve a strict decrease of the objective function (this variation cannot be equal to 0 ). It appears from our experiments that allowing transformations which do not change the value taken by the objective function may be preferable. Indeed, when we cannot improve the current configuration with only one transformation, then it is necessary to perform several transformations if we want to escape a local minimum in order to reach a better configuration. The negative counterpart of this is that the process can cycle without improving the current configuration; it is then necessary to upper bound the number of iterations performed by the method.

So, in the descent method, we try a given number of elementary transformations, which is a parameter
of the method (of course directly related to the CPU time that we want to spend to find an $r$ - D or an $r$-LD or an $r$-Id code). Only the good transformations are actually performed: the objective function can never increase. If the objective function $f_{D}, f_{L D}$ or $f_{I d}$ according to the problem becomes equal to 0 , then the current code is again an $r$-D or an $r$-LD or an $r$-Id code respectively; in this case, we remove a randomly chosen vertex from the new $r$-D code or $r$-LD code or $r$-Id code and we start a new descent. On the contrary, if we perform the total number of trials without obtaining a new $r$-D or $r$-LD or $r$-Id code, we stop the whole process.

### 2.4 Noising method

This metaheuristic (see [5] for a review of its principles and of applications) can be seen as a generalization of the simulated annealing method (as well as other metaheuristics; see [4]). The version of the noising method applied in our experiments is very close to the usual scheme of simulated annealing, from which we draw the terminology of temperature. In particular, a bad transformation may be accepted, unlike in the descent method.

Four parameters are involved: the initial temperature $T_{0}$, the number of temperature changes, the number of elementary transformations tried for each value of the temperature and a fixed real number $\lambda$ with $0<\lambda<1$, meant to control the geometric decrease of the temperature $T$ : when $T$ changes, $T$ becomes $\lambda T$. The values of these parameters depend on the considered problem. For example, to find a 2-D code of size 30 for ( $5,2,4$ )-DP, we applied the noising method with an initial temperature equal to 50, 100 temperature changes, $10^{7}$ elementary transformations and $\lambda=0.95$ (the CPU time was equal to 268014 seconds, i.e. a little more than three days).

Consider an elementary transformation involving a variation $\Delta$ of the objective function $f_{D}, f_{L D}$ or $f_{I d}$ (with $\Delta>0$ when we deal with a bad elementary transformation). For each tried transformation, we draw a real number $p$ between 0 and 1 with a uniform distribution. The transformation is accepted if we have $\Delta \leqslant p T$ (hence, the main difference here with simulated annealing is that, in simulated annealing, the acceptance criterion would be $\Delta \leqslant-T \ln p$; the results obtained by a classic simulated annealing are about the same as the ones reported below; the noising method applied here avoids the computations of logarithms). When $T$ becomes less than 1, the behaviour of the noising method at the end of its run is qualitatively the same as the one of a descent.

### 2.5 Removing the unnecessary vertices

At the end of any of the previous methods, we consider each vertex $v$ of the computed $r$-D or $r$-LD
or $r$-Id code according to the problem. If removing $v$ leaves anyway a suitable code, we definitively remove $v$.

According to our experiments, this simple principle may improve the current configuration quite often, especially when many elementary tranformations were performed with a variation of the objective function equal to 0 (see above). Indeed, these zero-variation transformations then achieve a "mixing" that increases diversity by changing the codewords inside the current configuration, which can be profitable to move away from a local minimum.

### 2.6 Exact method

For small values of $n$ and $q$, we also looked for minimum $r$-D or $r$-LD or $r$-Id codes by using an exact method. Such a method is based on an exhaustive enumeration of the codes. We start with a code $C$ of low cardinality $k$. While $C$ is not an $r$-D or an $r$-LD or an $r$-Id code according to the considered problem, we generate the next (according to the lexicographic order) code of cardinality $k$. If all the codes of size $k$ have been tried in vain, we increase $k$ and we repeat the same process.

Another way to apply this enumerative method is to start with an $r$-D or an $r$-LD or an $r$-Id code of size $k$ and to decrease $k$ : while we find a suitable code with a given cardinality $k$, we decrease $k$; when we do not find any longer a suitable code of cardinality $k$, we conclude that $k+1$ is the minimum size. This is particularly useful for checking the optimality of a given $r$-D or $r$-LD or $r$-Id code of cardinality $k$, when $k$ is not too large: we try all the $(k-1)$-sized codes to check that none of them is appropriate. In the tables below, this is indicated by the key "* $b$ "; more generally, a star "*" denotes the minimum cardinality of an $r$-D or an $r$-LD or an $r$-Id code.

## 3 Tables of results

Tables of bounds for identifying codes are given in [11] for $4 \leqslant q \leqslant 6,2 \leqslant n \leqslant 7$ and $1 \leqslant r \leqslant 5$. This is why we chose the same values for the parameters $q, n, r$ in our study, even if we considered codes other than identifying, namely dominating and locating-dominating.

The lower bounds $\left\lceil\frac{q^{n}}{\mathcal{V}_{n, r, q}}\right\rceil$ given in Table 1 are weak, specially for locating-dominating codes and identifying codes, but probably also for dominating codes. Indeed, even for small values of $r$ and $n$, we may observe that the lower bounds and the minimum values are not equal (for instance, for $n=3, r=1$, $q=4: 10$ versus 12 , see Table 2 ; for $n=2, r=2$, $q=5: 2$ versus 3 , see Table 3; for $n=2, r=2$, $q=6: 3$ versus 4 , see Table 4). For identifying codes, better lower bounds exist for $q=4$ (see [21]
for $r=1$ and [11] for $r>1$ ), and for $q>4, q$ even and $r=1$ [20]. They are given in Tables 2, 3 and 4, together with the upper bounds (all constructive).

The results reported in these tables were obtained with different computers and by the application of different methods among the ones depicted above. The aim of this paper is not to study and to compare the efficiency of these methods by putting them in competition, but on the contrary to make them collaborate in order to compute the best possible codes. For these reasons, we do not report the CPU times required to compute the $r$-D, $r$-LD or $r$-Id codes. These CPU times can be very large, up to several weeks: for instance, we needed 699809 seconds (i.e. a little more than 8 days) to improve by 1 a 4-D code with 119 vertices for $n=q=6$ by the descent method, and then 1448007 extra seconds (i.e. about 16.8 days) in order to obtain a code with 117 vertices from the code with 118 vertices.

The $r$-D, $r$-LD or $r$-Id codes that we computed and of which the sizes are reported in Tables 2, 3 and 4 can be found at the following address:
https://perso.telecom-
paristech.fr/hudry/LeeHypercubesCodes.html
The codewords available in the files displayed at this address are encoded as integers thanks to the function $\varphi$ defined as follows. Each vertex $u$ of $L_{q}^{n}$, i.e. a vector $u=\left(u_{1}, u_{2}, \ldots, u_{n}\right)$, can be associated with an integer $\varphi(u)$ defined by $\varphi(u)=\sum_{i=1}^{n} u_{i} q^{i-1}$. For instance, for $q=4$, we get $\varphi(1,2,0,2)=1 \times 4^{0}+2 \times 4^{1}+0 \times 4^{2}+2 \times 4^{3}=137$. Obviously, $\varphi$ is a one-to-one function between the vertices of $L_{q}^{n}$ and the integer values between 0 and $q^{n}-1$. This representation saves space.

If we succeed in improving the values reported in Tables 2, 3 and 4 later on, we will update these files.

Keys to Tables 2, 3 and 4
Lower bounds are given for $r$-identifying codes, for $q=4$, and $q=6$ with $r=1$. They are in italics. Also for identifying codes, our new upper bounds are followed by the previously best known upper bounds between brackets. Keys are mainly for identifying codes, relying on [20], [21], and [11]:
$a=$ because $\mathrm{LD}_{r}(G) \leqslant \operatorname{Id}_{r}(G)$ (but none of our heuristics could find the LD-code; this happens only once, for $n=7, r=1, q=6$ )
$* b=$ optimal: exhaustive search
$* c=$ optimal: $r=n \times \frac{q}{2}-1$ for $q$ even (for $r$-D, $r$-LD and $r$-Id-codes, see Remark 2)
$* d=$ optimal: $r \geqslant n \times \frac{q}{2}, q$ even, and $r \geqslant n \times \frac{q-1}{2}$, $q$ odd (for $r$-D or $r$-LD-codes, see Remark 1)
$* e=$ optimal: the upper bound coincides with the lower bound given in Table 1
$* f=$ optimal, other cases
$g, h$ and $i$ refer to articles: $g=[20], h=[21], i=$ [11].

## 4 Analysis of the results, conjectures and open problems

Tables 2, 3 and 4 provide upper bounds for the minimum sizes of $r$-D codes, $r$-LD codes and $r$-Id codes in the graphs $L_{q}^{n}$ for $4 \leqslant q \leqslant 6,2 \leqslant n \leqslant 7$ and $1 \leqslant r \leqslant 5$ (remember that, for $q=2$ and $q=3$, Lee distance and Hamming distance are the same, yielding the equality $L_{q}^{n}=H_{q}^{n}$; so we do not give results for $q \in\{2,3\}$ : they can be found in the references given above, in Section 1).

Some of these values are optimal: they are indicated by a star in the tables. Is it possible to improve some of the others? This is a challenge...

From the values displayed in Tables 2, 3 and 4, we may observe interesting phenomena.

- Almost all the known upper bounds are significantly improved.
- For any fixed parameters $n$ and $q, \gamma_{r}\left(L_{q}^{n}\right)$ is non-increasing when $r$ increases; this is quite obvious, since the size $\mathcal{V}_{n, r, q}$ of the closed $r$ neighbourhood of any vertex increases with $r$ : any vertex $r$-dominates more and more vertices and any $r$-dominating code is also an $(r+1)$ dominating code.
- This is not the case for $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\mathrm{Id}_{r}\left(L_{q}^{n}\right)$. Indeed, when $r$ increases, the number of vertices $r$-dominated by a given codeword increases too, exactly as for $\gamma_{r}$, but not necessarily the number of $r$-separated vertices. More precisely, for any fixed parameters $n$ and $q$, the computed values for $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ first non-incresase and then non-decrease. This suggests the following conjecture:
Conjecture 1 and open problem 1. [Unimodality with respect to $r$ ] Let $n$ and $q$ be given. When $r$ increases (starting from $r=1$ ), the function $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$, after a possible non-increase, non-decreases until it reaches the value $q^{n}-1$; the function $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$, after a possible non-increase, non-decreases until $L_{q}^{n}$ admits no $r$-identifying code.

If so, what are the values of $r$ in function of $n$ and $q$ for which $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ reach their minimum values?

- If now whe fix $r$ and $q$, we observe that $\gamma_{r}\left(L_{q}^{n}\right)$ non-decreases when $n$ increases (note that $\gamma_{r}$ may be steady: for instance $\gamma_{3}\left(L_{4}^{2}\right)=\gamma_{3}\left(L_{4}^{3}\right)=2$ ). This behaviour is systematic, as shown by the following proposition:

Proposition 1. Let $r$ and $q$ be given. When $n$ decreases until $n=2$, the function $\gamma_{r}\left(L_{q}^{n}\right)$ is nonincreasing.

Proof. Let $C_{n+1}$ be an optimal $r$-dominating code in $L_{q}^{n+1}$, and $C_{n}$ be the code defined in $L_{q}^{n} \quad$ by $\quad C_{n}=\left\{\left(c_{1}, \ldots, c_{i-1}, c_{i+1}, \ldots, c_{n+1}\right)\right.$ : $\exists j \in\{0,1, \ldots, q-1\}$ such that $\left.\left(c_{1}, c_{2}, \ldots, c_{i-1}, j, c_{i+1}, \ldots, c_{n+1}\right) \in C_{n+1}\right\}$, that is, we simply delete the $i$-th coordinate in $C_{n+1}$, for one $i \in\{1,2, \ldots, n+1\}$. In the following, for simplicity, we take $i=n+1$. Consider any vertex $\left(v_{1}, \ldots, v_{n}\right) \in L_{q}^{n}$. For every vertex $v=\left(v_{1}, \ldots, v_{n}, v_{n+1}\right) \in L_{q}^{n+1}$, there is a codeword $c=\left(c_{1}, \ldots, c_{n}, c_{n+1}\right) \in C_{n+1}$ with $d_{L}(v, c) \leqslant r$. Then $d_{L}\left(\left(v_{1}, \ldots, v_{n}\right),\left(c_{1}, \ldots, c_{n}\right)\right) \leqslant r$, which proves that $C_{n}$ is $r$-dominating in $L_{q}^{n}$. Hence $\gamma_{r}\left(L_{q}^{n}\right) \leqslant\left|C_{n}\right| \leqslant\left|C_{n+1}\right|=\gamma_{r}\left(L_{q}^{n+1}\right)$.

- The behaviour of $\operatorname{LD}_{r}\left(L_{q}^{n}\right)$ is not the same as the one of $\gamma_{r}\left(L_{q}^{n}\right)$ and seems more erratic when $n$ increases with fixed $r$ and $q$ : for $r=5$ and $q=4, \mathrm{LD}_{5}\left(L_{4}^{n}\right)$ first increases $\left(\operatorname{LD}_{5}\left(L_{4}^{2}\right)=15\right.$, $\mathrm{LD}_{5}\left(L_{4}^{3}\right)=32$ ) then decreases and, if we consider the computed values, finally increases again (21 for $n=4,16$ for $n=5,25$ for $n=6,41$ for $n=7$ ). Such a behaviour may be rather surprising since the number of vertices to be $r$-dominated and the number of pairs of vertices to be $r$-separated increase dramatically: thus $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ may be expected to increase when $n$ increases. Similarly, $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ does not inscrease with every value of $n$. But in fact, these phenomena seem to appear only when $n$ is small enough with respect to $r$. Hence the following conjecture and open problem:

Conjecture 2 and open problem 2. [Increase of $\mathrm{LD}_{r}$ and $\mathrm{Id}_{r}$ with respect to $n$ for $n$ large enough] Let $r$ and $q$ be given. There exist integers $N_{L D}(r, q)$ and $N_{I d}(r, q)$ such that, respectively for $n \geqslant N_{L D}(r, q)$ and $n \geqslant N_{I d}(r, q)$, the values of $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and of $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ increase when $n$ increases.

If so, what are the values of $N_{L D}(r, q)$ and $N_{I d}(r, q)$ in function of $r$ and $q$ ?

- When considering the Hamming distance, we have the following inequality ([13]; see also [3]): for $p>r \geqslant 1, \operatorname{Id}_{r}\left(H_{2}^{n+p}\right) \leqslant 2^{p} \operatorname{Id}_{r}\left(H_{2}^{n}\right)$. On the other hand, we have the following theorem for $\gamma_{r}$, thanks to the so-called direct sum construction:

Theorem 1. Let $r$ and $q$ be given. Then, for any dimension $n$, we have the inequality $\gamma_{r}\left(L_{q}^{n+1}\right) \leqslant q \times \gamma_{r}\left(L_{q}^{n}\right)$.

Proof. Let $C_{n}$ be a minimum $r$-D code in $L_{q}^{n}:\left|C_{n}\right|=\gamma_{r}\left(L_{q}^{n}\right)$. For each codeword
$u=\left(u_{1}, u_{2}, \ldots, u_{n}\right)$ of $C_{n}$, consider the $q$ vertices $\left(u_{1}, u_{2}, \ldots, u_{n}, i\right)$ of $L_{q}^{n+1}$ with $i \in\{0,1, \ldots, q-1\}$. Let $C_{n+1}$ be the code of $L_{q}^{n+1}$ thus obtained. Let $v=\left(v_{1}, \ldots, v_{n}, v_{n+1}\right)$ be any vertex of $L_{q}^{n+1}$. Since $C_{n}$ is an $r$-D code of $L_{q}^{n}$, there exists a codeword $\left(u_{1}, u_{2}, \ldots, u_{n}\right) \in C_{n}$ with $d_{L}\left(\left(v_{1}, \ldots, v_{n}\right),\left(u_{1}, \ldots, u_{n}\right)\right) \leqslant r$. Hence the inequality $d_{L}\left(\left(v_{1}, \ldots, v_{n}, v_{n+1}\right),\left(u_{1}, \ldots, u_{n}, v_{n+1}\right)\right) \leqslant r$. Since $\left(u_{1}, \ldots, u_{n}, v_{n+1}\right)$ belongs to $C_{n+1}, C_{n+1}$ is an $r$-D code of $L_{q}^{n+1}$ with $q \times \gamma_{r}\left(L_{q}^{n}\right)$ codewords.

Note that, if the computed values for $\gamma_{1}\left(L_{4}^{6}\right)$ and $\gamma_{1}\left(L_{4}^{7}\right)$, i.e. 385 and 1540 , or for $\gamma_{1}\left(L_{6}^{4}\right), \gamma_{1}\left(L_{6}^{5}\right)$, $\gamma_{1}\left(L_{6}^{6}\right)$ and $\gamma_{1}\left(L_{6}^{7}\right)$, i.e. respectively $144,864,5184$ and 31104 , are the minimum ones, then the inequality $\gamma_{1}\left(L_{q}^{n+1}\right) \leqslant q \times \gamma_{1}\left(L_{q}^{n}\right)$ of the theorem would be tight for these values.

These observations lead us to the following conjecture (which would extend the inequality $\operatorname{Id}_{r}\left(H_{2}^{n+p}\right) \leqslant 2^{p} \operatorname{Id}_{r}\left(H_{2}^{n}\right)$ to any value of $p$, in particular for $r=p=1$ ):

Conjecture 3. [Bounded increase of $\mathrm{LD}_{r}$ and $\mathrm{Id}_{r}$ when the dimension $n$ increases by 1]. Let $r$ and $q$ be given. For any dimension $n$, we have $\operatorname{LD}_{r}\left(L_{q}^{n+1}\right) \leqslant q \times \mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\operatorname{Id}_{r}\left(L_{q}^{n+1}\right) \leqslant q \times \operatorname{Id}_{r}\left(L_{q}^{n}\right)$.

- Tables 5, 6 and 7 provide the densities of the computed codes, i.e. the ratios between the computed cardinalities and the number of vertices. For $\gamma_{r}$, the computed densities are nonincreasing both with respect to $n$ (as announced by Theorem 1) and $r$ (see above for the decrease with respect to $r$ ) but not with respect to $q$ (compare for instance the - exact densities for $\gamma_{1}\left(L_{5}^{2}\right)$ and $\gamma_{1}\left(L_{6}^{2}\right)$ ). For $\mathbf{L D}_{r}$ and $\mathrm{Id}_{r}$, the computed densities are decreasing with respect to $n$ (see Conjecture 3) but not with respect to $r$ or to $q$ (compare for instance the values for $\mathrm{LD}_{2}\left(L_{4}^{2}\right)$ and $\mathrm{LD}_{3}\left(L_{4}^{2}\right)$, the values for $\mathrm{LD}_{1}\left(L_{4}^{3}\right)$ and $\mathrm{LD}_{1}\left(L_{5}^{3}\right)$, the values for $\operatorname{Id}_{2}\left(L_{4}^{2}\right)$ and $\operatorname{Id}_{3}\left(L_{4}^{2}\right)$, the values for $\operatorname{Id}_{1}\left(L_{4}^{5}\right)$ and $\operatorname{Id}_{1}\left(L_{5}^{5}\right)$ ).

Conjecture 4. [Non-increase of the densities with respect to $n$ ]. Let $r$ and $q$ be given. Conjecture 3 is equivalent to the non-increase of the densities for $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\mathrm{Id}_{r}\left(L_{q}^{n}\right)$; furthermore, we conjecture that the densities for $\gamma_{r}\left(L_{q}^{n}\right), \operatorname{LD}_{r}\left(L_{q}^{n}\right)$ and $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ tend towards 0 when $n$ increases.

- The compared costs of $r$-domination, $r$-locationdomination and $r$-identification, i.e. the differences between optimal cardinalities, are studied
for general graphs in [19]. While the gaps between optimal cardinalities can be very large for general graphs, the differences between the computed values for $L_{q}^{n}$ are, for a large majority of cases, rather small with respect to the number $q^{n}$ of vertices, as shown by Tables 5, 6 and 7. In particular, the computed values of $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ become very close when $n$ increases for given $r$ and $q$ : $r$-separating all the pairs of vertices does not cost much more than $r$-separating only the pairs of non-codewords. Sometimes, the computed values of $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ are the same (see Tables 2, 3 and 4). Hence an open problem:

Open problem 3. [Equality between $\mathrm{LD}_{r}\left(L_{q}^{n}\right)$ and $\left.\operatorname{Id}_{r}\left(L_{q}^{n}\right)\right]$. Can we characterize the values of $n, r$ and $q$ for which we have $\mathrm{LD}_{r}\left(L_{q}^{n}\right)=\operatorname{Id}_{r}\left(L_{q}^{n}\right)$ ?

- Figures 1, 2 and 3 allow to see the evolution of the ratios $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{Id}\left(L_{q}^{n}\right)$, or rather their approximations, based on the computed values of these two parameters, respectively for $q=4, q=5$ and $q=6$. Each figure contains five curves, one for each value of $r \in\{1,2,3,4,5\}$. The $x$-axis represents the dimension $n$; the $y$-axis provides the approximation of $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{Id}\left(L_{q}^{n}\right)$. As we have $\gamma_{r}\left(L_{q}^{n}\right) \leqslant \operatorname{Id}\left(L_{q}^{n}\right)$, the ratios are always upper bounded by 1. In a sense, a curve illustrates, for the associated radius $r$, the part of the code required for the $r$-domination and the one required for the $r$-separation. Except for few values, we observe that the curves are rather increasing, which would mean that the part of the $r$-domination becomes more and more important inside the code when $n$ increases, for fixed $q$ and $r$. Of course, as the computed values of $\operatorname{LD}\left(L_{q}^{n}\right)$ and of $\operatorname{Id}\left(L_{q}^{n}\right)$ are quite similar, we would observe the same phenomenon if studying the ratios $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{LD}\left(L_{q}^{n}\right)$. This suggests the next open problem.

Open problem 4. [Increase of $\gamma_{r}\left(L_{q}^{n}\right) / \mathrm{LD}\left(L_{q}^{n}\right)$ and of $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{Id}\left(L_{q}^{n}\right)$ with respect to $n$ for $n$ large enough] Let $r$ and $q$ be given. Do there exist integers $N_{L D}(r, q)$ and $N_{I d}(r, q)$ such that, respectively for $n \geqslant N_{L D}(r, q)$ and $n \geqslant N_{I d}(r, q)$, the values of $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{LD}\left(L_{q}^{n}\right)$ and of $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{Id}\left(L_{q}^{n}\right)$ increase when $n$ increases?

If so, what are the values of the limits of $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{LD}\left(L_{q}^{n}\right)$ and of $\gamma_{r}\left(L_{q}^{n}\right) / \operatorname{Id}\left(L_{q}^{n}\right)$ ? Are they equal to 1? Otherwise, is the limit of $\operatorname{LD}\left(L_{q}^{n}\right) / \operatorname{Id}\left(L_{q}^{n}\right)$ anyway equal to 1 ?

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## Contribution of individual authors to the creation of a scientific article (ghostwriting policy)

The three authors contribute equally to all the aspects of this work.

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|  | $r=1$ |  | $r=2$ |  | $r=3$ |  | $r=4$ |  | $r=5$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $n\left(q^{n}\right)$ | $\mathcal{V}_{n, r, q}$ | $\left\lceil\frac{q^{n}}{\mathcal{V} n, r, q}\right\rceil$ | $\mathcal{V}_{n, r, q}$ | $\left\lceil\frac{q^{n}}{\left.\mathcal{V}_{n, r, q}\right\rceil}\right.$ | $\mathcal{V}_{n, r, q}$ | $\left\lceil\frac{q^{n}}{\mathcal{V} n, r, q}\right\rceil$ | $\mathcal{V}_{n, r, q}$ | $\left\lceil\frac{q^{n}}{\mathcal{V}}{ }^{\text {n,r,q}}\right\rceil$ | $\mathcal{V}_{n, r, q}$ | $\left\lceil\frac{q^{n}}{\mathcal{V} n, r, q}\right\rceil$ |
| $q=4$ |  |  |  |  |  |  |  |  |  |  |
| 2 (16) | 5 | 4 | 11 | 2 | 15 | 2 | 16 | 1 | 16 | 1 |
| 3 (64) | 7 | 10 | 22 | 3 | 42 | 2 | 57 | 2 | 63 | 2 |
| 4 (256) | 9 | 29 | 37 | 7 | 93 | 3 | 163 | 2 | 219 | 2 |
| 5 (1024) | 11 | 94 | 56 | 19 | 176 | 6 | 386 | 3 | 638 | 2 |
| 6 (4096) | 13 | 316 | 79 | 52 | 299 | 14 | 794 | 6 | 1145 | 4 |
| 7 (16384) | 15 | 1093 | 106 | 155 | 470 | 35 | 1471 | 12 | 3473 | 5 |
| $q=5$ |  |  |  |  |  |  |  |  |  |  |
| 2 (25) | 5 | 5 | 13 | 2 | 21 | 2 | 25 | 1 | 25 | 1 |
| 3 (125) | 7 | 18 | 25 | 5 | 57 | 3 | 93 | 2 | 117 | 2 |
| 4 (625) | 9 | 70 | 41 | 16 | 121 | 6 | 257 | 3 | 417 | 2 |
| 5 (3125) | 11 | 285 | 61 | 52 | 221 | 15 | 581 | 6 | 1173 | 3 |
| 6 (15625) | 13 | 1202 | 85 | 184 | 365 | 43 | 1145 | 14 | 2777 | 6 |
| 7 (78125) | 15 | 5209 | 113 | 692 | 561 | 140 | 2045 | 39 | 5797 | 14 |
| $q=6$ |  |  |  |  |  |  |  |  |  |  |
| 2 (36) | 5 | 8 | 13 | 3 | 23 | 2 | 31 | 2 | 35 | 2 |
| 3 (216) | 7 | 31 | 25 | 9 | 60 | 4 | 108 | 2 | 156 | 2 |
| 4 (1296) | 9 | 144 | 41 | 32 | 125 | 11 | 285 | 5 | 517 | 3 |
| 5 (7776) | 11 | 707 | 61 | 128 | 226 | 35 | 626 | 13 | 1378 | 6 |
| 6 (46656) | 13 | 3589 | 85 | 549 | 371 | 126 | 1211 | 39 | 3143 | 15 |
| 7 (279936) | 15 | 18663 | 113 | 2478 | 568 | 493 | 2136 | 132 | 6392 | 44 |

Table 1: lower bounds given by (3), for $4 \leqslant q \leqslant 6,2 \leqslant n \leqslant 7$ and $1 \leqslant r \leqslant 5$.

| $n\left(q^{n}\right)$ | $r=1$ |  |  | $r=2$ |  |  | $r=3$ |  |  | $r=4$ |  |  | $r=5$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id |
| 2 (16) | $4^{* e}$ | $6^{* 6}$ | $7^{* f}\left[7^{h}\right]$ | $2^{* e}$ | $5^{* 6}$ | $4^{i} 6^{* f}\left[7^{i}\right]$ | $2^{* c}$ | $\left[8^{* c}\right]$ | $15^{* b}\left[15^{* c}\right]$ | $1^{* d}$ | $\left[15^{* d}\right]$ | - | $1^{* d}$ | [15 ${ }^{* d}$ ] | - |
| 3 (64) | $12^{* b}$ | 16 | $18^{h} 19\left[21^{i}\right]$ | $4^{* 6}$ | $8^{* 6}$ | $8^{* f}\left[8^{i}\right]$ | $2^{* e}$ | $7^{* 6}$ | $5^{i} 7^{* b}\left[7^{i}\right]$ | $2^{* e}$ | 15 | $4^{i} 18\left[20^{i}\right]$ | $2^{* c}$ | [32*c] | [63*c] |
| 4 (256) | 32 | 56 | $56^{h} 62\left[67^{i}\right]$ | 12 | 20 | $17^{i} 21\left[22^{i}\right]$ | $4^{* b}$ | 13 | $8^{i} 13\left[14^{i}\right]$ | $2^{* e}$ | 11 | $5^{i} 13\left[14^{i}\right]$ | $2^{* e}$ | 21 | $4^{i} 21\left[22^{i}\right]$ |
| 5 (1024) | 120 | 203 | $183^{h} 211\left[266^{i}\right]$ | 30 | 57 | $42^{i} 60\left[92^{i}\right]$ | 12 | 28 | $17^{i} 28\left[51^{i}\right]$ | $4^{* 6}$ | 16 | $8^{i} 16\left[24^{i}\right]$ | $2^{* e}$ | 16 | $5^{i} 18\left[28^{i}\right]$ |
| 6 (4096) | 385 | 723 | $623^{h} 748$ [1064 ${ }^{i}$ ] | 104 | 174 | $115^{i} 187\left[352^{i}\right]$ | 31 | 67 | $35^{i} 70\left[154^{i}\right]$ | 12 | 34 | $16^{i} 34\left[64^{i}\right]$ | $4^{* e}$ | 25 | $8^{i} 25\left[46^{i}\right]$ |
| 7 (16384) | 1540 | 2690 | $2164^{h} 2711\left[4256^{i}\right]$ | 341 | 568 | $334^{i} 586\left[1407^{i}\right]$ | 96 | 182 | $81^{i} 184\left[462^{i}\right]$ | 33 | 77 | $32^{i} 78\left[176^{i}\right]$ | 13 | 41 | $15^{i} 41\left[112^{i}\right]$ |

Table 2: bounds for $q=4$

| $n\left(q^{n}\right)$ | $r=1$ |  |  | $r=2$ |  |  | $r=3$ |  |  | $r=4$ |  |  | $r=5$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id |
| 2 (25) | $5^{* e}$ | $9^{* 6}$ | $10^{* 6}\left[10^{g}\right]$ | $3^{* 6}$ | $6^{* 6}$ | $6^{* b}\left[6^{i}\right]$ | $2^{* e}$ | $9^{* b}$ | $10^{* b}\left[11^{i}\right]$ | $1^{* d}$ | [24*d] | - | $1^{* d}$ | [24*d] | - |
| 3 (125) | 20 | 32 | 36 [39 ${ }^{i}$ ] | $8^{* b}$ | 14 | 15 [16 ${ }^{i}$ ] | $3^{* e}$ | 10 | 11 [11 ${ }^{i}$ ] | $2^{* e}$ | 13 | 14 [15 ${ }^{i}$ ] | $2^{* e}$ | 27 | 32 [33 $\left.{ }^{i}\right]$ |
| 4 (625) | 84 | 139 | 150 [195 ${ }^{i}$ ] | 20 | 44 | $48\left[73^{i}\right]$ | 9 | 23 | $25\left[42^{i}\right]$ | $4^{* 6}$ | 18 | 18 [18 $\left.{ }^{i}\right]$ | $3^{* 6}$ | 20 | $20\left[20^{i}\right]$ |
| 5 (3125) | 397 | 624 | 654 [975 ${ }^{\text { }}$ ] | 98 | 163 | 175 [365 ${ }^{i}$ ] | 31 | 69 | 70 [160 $\left.{ }^{2}\right]$ | 13 | 37 | 37 [52 $\left.{ }^{i}\right]$ | 5* | 27 | 27 [38 ${ }^{\text {i }}$ ] |
| 6 (15625) | 1792 | 2811 | 2912 [4875 ${ }^{i}$ ] | 391 | 639 | 685 [1521 ${ }^{i}$ ] | 113 | 217 | 219 [624 $\left.{ }^{2}\right]$ | 40 | 93 | $93\left[256{ }^{2}\right]$ | 16 | 52 | 52 [176 $\left.{ }^{2}\right]$ |
| 7 (78125) | 8422 | 13135 | 13539 [24375 ${ }^{2}$ ] | 1648 | 2613 | 2701 [7605 ${ }^{\text { }}$ ] | 419 | 733 | 739 [2847 $\left.{ }^{2}\right]$ | 130 | 264 | 265 [1168 $\left.{ }^{i}\right]$ | 48 | 121 | 124 [520 ${ }^{\text {i }}$ ] |

Table 3: bounds for $q=5$

| $n\left(q^{n}\right)$ | $r=1$ |  |  | $r=2$ |  |  | $r=3$ |  |  | $r=4$ |  |  | $r=5$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id |
| 2 (36) | $8^{* e}$ | $12^{* b}$ | $12^{g} 14^{* b}\left[14^{i}\right]$ | $4^{* 6}$ | $7^{* 6}$ | $8^{* b}\left[9^{g}\right]$ | $2^{* e}$ | $7^{* b}$ | $8^{* b}\left[9^{i}\right]$ | $2^{* e}$ | $9^{* b}$ | $13^{* b}\left[14^{i}\right]$ | $2^{* C}$ | [18* $]$ | [35 ${ }^{* c}$ ] |
| 3 (216) | 36 | 52 | $54^{* g} 54\left[54^{* g}\right]$ | 12 | 23 | 24 [27 ${ }^{\text {i }}$ ] | $6^{* 6}$ | 14 | 14 [16 ${ }^{i}$ ] | $2^{* e}$ | 11 | 11 [13 ${ }^{i}$ ] | $2^{* e}$ | 14 | 14 [16 ${ }^{i}$ ] |
| 4 (1296) | 144 | 288 | $260^{g} 310\left[324^{g}\right]$ | 47 | 94 | 98 [149 ${ }^{2}$ ] | 16 | 46 | 47 [75 ${ }^{i}$ ] | 9 | 26 | 27 [48 $\left.{ }^{i}\right]$ | $4^{* 6}$ | 23 | 23 [29 $\left.{ }^{i}\right]$ |
| 5 (7776) | 864 | 1552 | $1296{ }^{g} 1609\left[1701^{g}\right]$ | 241 | 416 | 445 [756 ${ }^{2}$ ] | 79 | 167 | 168 [378 ${ }^{2}$ ] | 30 | 79 | 81 [224 ${ }^{i}$ ] | 14 | 47 | 47 [ $\left.117^{i}\right]$ |
| 6 (46656) | 5184 | 8616 | $6666^{g} 8747$ [8748 ${ }^{\text {g }}$ ] | 1195 | 1992 | 2039 [2916 ${ }^{i}$ ] | 338 | 636 | 644 [1458 $\left.{ }^{2}\right]$ | 117 | 255 | 259 [729 $\left.{ }^{i}\right]$ | 47 | 126 | $128\left[432^{2}\right]$ |
| 7 (279936) | 31104 | [34992 ${ }^{\text {a }}$ ] | [34992 ${ }^{* f g}$ ] | 5961 | 9378 | 10540 [17496 ${ }^{2}$ ] | 1517 | 2583 | 2882 [8046 ${ }^{i}$ ] | 473 | 887 | 901 [4023 $\left.{ }^{i}\right]$ | 177 | 376 | 389 [2025 $\left.{ }^{i}\right]$ |

Table 4: bounds for $q=6$

| $n\left(q^{n}\right)$ | $r=1$ |  |  | $r=2$ |  |  | $r=3$ |  |  | $r=4$ |  |  | $r=5$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id |
| 2 (16) | 25 \%* | 37.5 \%* | 43.75 \%* | 12.5 \%* | 31.25 \%* | 37.5 \%* | 12.5 \%* | 50 \%* | 93.75 \%* | 6.25 \%* | 93.75 \%* | - | 6.25* \% | 93.75* \% | - |
| 3 (64) | 18.75 \%* | 25 \% | 29.69 \% | 6.25 \%* | 12.5 \%* | 12.5 \%* | 3.13 \%* | 10.94 \%* | 10.94 \%* | 3.13 \%* | 23.44 \% | 28.13 \% | 3.13 \%* | $50 \%$ * | 98.44 \%* |
| 4 (256) | 12.5 \% | 21.88\% | 24.22 \% | 4.69 \% | 7.81 \% | 8.20 \% | 1.56 \%* | 5.08 \% | 5.08 \% | 0.78 \%* | 4.30 \% | 5.08 \% | 0.78 \%* | 8.20 \% | 8.20\% |
| 5 (1024) | 11.72 \% | 19.82 \% | 20.61 \% | 2.93 \% | 5.57 \% | 5.86 \% | 1.17 \% | 2.73 \% | 2.73 \% | 0.39 \%* | 1.56 \% | 1.56 \% | 0.20 \%* | 1.56 \% | 1.76 \% |
| 6 (4096) | 9.40 \% | 17.65 \% | 18.26 \% | 2.54 \% | 4.25 \% | 4.57 \% | 0.76 \% | 1.64 \% | 1.71 \% | 0.29 \% | 0.83 \% | 0.83 \% | 0.10 \%* | 0.61 \% | 0.61 \% |
| 7 (16384) | 9.40 \% | 16.42 \% | 16.55 \% | 2.08 \% | 3.47 \% | 3.58 \% | 0.59 \% | 1.11 \% | 1.12 \% | 0.20 \% | 0.47 \% | 0.48 \% | 0.08 \% | 0.25 \% | 0.25 \% |

Table 5: upper bounds for densities for $q=4$

| $n\left(q^{n}\right)$ | $r=1$ |  |  | $r=2$ |  |  | $r=3$ |  |  | $r=4$ |  |  | $r=5$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id |
| 2 (25) | 20 \%* | 36 \%* | 40 \%* | 12 \%* | 24 \%* | 24 \%* | 8\%* | 36 \%* | 40\%* | 4 \%* | 96 \%* | - | 4 \%* | 96\%* | - |
| 3 (125) | 16 \% | 25.6 \% | 28.8 \% | 6.4 \%* | 11.2 \% | 12 \% | 2.4 \%* | 8 \% | 8.8 \% | 1.6 \%* | 10.4 \% | 11.2 \% | 1.6 \%* | 21.6 \% | 25.6 \% |
| 4 (625) | 13.44 \% | 22.24 \% | 24 \% | 3.2\% | 7.04 \% | 7.68 \% | 1.44 \% | 3.68 \% | 4 \% | 0.64 \%* | 2.88 \% | 2.88 \% | 0.48 \%* | 3.2 \% | 3.2 \% |
| 5 (3125) | 12.7 \% | 19.97 \% | 20.93 \% | 3.14 \% | 5.22 \% | 5.6 \% | 0.99 \% | 2.21 \% | 2.24 \% | 0.42 \% | 1.18 \% | 1.18 \% | 0.16 \%* | 0.86 \% | 0.86 \% |
| 6 (15625) | 11.47 \% | 17.99 \% | 18.64 \% | 2.50 \% | 4.09 \% | 4.38 \% | 0.72 \% | 1.39 \% | 1.40 \% | 0.26 \% | 0.60 \% | 0.60 \% | 0.10 \% | 0.33 \% | 0.33 \% |
| 7 (78125) | 10.78 \% | 16.81 \% | 17.33 \% | 2.11 \% | 3.34 \% | 3.46 \% | 0.54 \% | 0.94 \% | 0.95 \% | 0.17 \% | 0.34 \% | 0.34 \% | 0.06 \% | 0.15 \% | 0.16 \% |

Table 6: upper bounds for densities for $q=5$

| $n\left(q^{n}\right)$ | $r=1$ |  |  | $r=2$ |  |  | $r=3$ |  |  | $r=4$ |  |  | $r=5$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id | $\gamma$ | LD | Id |
| 2 (36) | 22.22 \%* | 33.33 \%* | 38.89 \%* | 11.11 \%* | 19.44 \%* | 22.22 \%* | 5.56 \%* | 19.44 \%* | 22.22 \%* | 5.56 \%* | 25 \%* | 36.11 \%* | 5.56 \%* | 50 \%* | 97.22 \%* |
| 3 (216) | 16.67 \% | 24.07 \% | 25 \%* | 5.56 \% | 10.65 \% | 11.11 \% | 2.78 \%* | 6.48 \% | 6.48 \% | 0.93 \%* | 5.09 \% | 5.09 \% | 0.93 \%* | 6.48 \% | 6.48 \% |
| 4 (1296) | 11.11 \% | 22.22 \% | 23.92 \% | 3.63 \% | 7.25 \% | 7.56 \% | 1.23 \% | 3.55 \% | 3.63 \% | 0.69 \% | 2.01 \% | 2.08 \% | 0.31 \%* | 1.77 \% | 1.77 \% |
| 5 (7776) | 11.11 \% | 19.96 \% | 20.69 \% | $3.10 \%$ | 5.35 \% | 5.72 \% | 1.02 \% | 2.15 \% | 2.16 \% | 0.39 \% | 1.02 \% | 1.04 \% | 0.18 \% | 0.60 \% | 0.60 \% |
| 6 (46656) | 11.11 \% | 18.47 \% | 18.75 \% | 2.56 \% | 4.27 \% | 4.37 \% | 0.72 \% | 1.36 \% | 1.38 \% | 0.25 \% | 0.55 \% | 0.56 \% | 0.10 \% | 0.27 \% | 0.27 \% |
| 7 (279936) | 11.11 \% | 12.5 \% | 12.5 \%* | 2.13 \% | 3.35 \% | 3.77 \% | 0.54 \% | 0.92 \% | 1.03 \% | 0.17 \% | 0.32 \% | 0.32 \% | 0.06 \% | 0.13 \% | 0.14 \% |

Table 7: upper bounds for densities for $q=6$


Figure 1: Approximation of the ratios $\gamma_{r}\left(L_{4}^{n}\right) / \operatorname{Id}\left(L_{4}^{n}\right)$ from the computed values.


Figure 2: Approximation of the ratios $\gamma_{r}\left(L_{5}^{n}\right) / \operatorname{Id}\left(L_{5}^{n}\right)$ from the computed values.


Figure 3: Approximation of the ratios $\gamma_{r}\left(L_{6}^{n}\right) / \operatorname{Id}\left(L_{6}^{n}\right)$ from the computed values.

