Vulnerable yet Viable: Stakeholders' Role in Small-Scale Fishermen Governance towards Viable Life

HAPSARI AYU KUSUMAWARDHANI¹, INDAH SUSILOWATI², HADIYANTO³ ¹Economic Development Studies, Faculty of Economics and Business, Diponegoro University, Semarang, INDONESIA ²Economic Development Studies, Faculty of Economics and Business, Diponegoro University, INDONESIA

³School of Postgraduate Studies, Diponegoro University, INDONESIA

Abstract: - This research aimed at identifying stakeholders' participation in the appropriate strategy of small-scale fishermen governance in the waters of Karang Jeruk Conservation Area in facing vulnerability along with actor typology and analyzing the strategy of how small-scale fishermen survive with their vulnerability based on stakeholder. This research used a qualitative research paradigm. The data were collected using an in-depth interview method and were analyzed using stakeholder analysis with MACTOR (Matrix of Alliances and Conflicts Tactics, Objectives and Recommendations) to identify the stakeholders' power, relationship and actor alliance pattern and using ATLAS.ti to identify small-scale fisherman governance strategies by stakeholders. The research results show that most actors were the key players and had low divergence. Small-scale fishermen's governance strategy requires facilities, infrastructure, institution preparation, and community empowerment. Such development needs various parties' involvement, including the regulator, executor, supporting institution, target and community as the main actors

Key-Words: small-scale fisheries, fishermen, vulnerable, viable, actor, MACTOR.

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1 Introduction

Power is the central concept in learning about complex governance systems since it determines how stakeholders influence each other to achieve the result they desire, [1]. Stakeholders' increased involvement and participation have been part of the sustainable development agenda, [2]. Stakeholders' participation is one way to end social and environmental injustice, [3], [4]. Consequently, stakeholders of different levels and sectors influence each other and are sometimes involved in conflict or cooperation to form governance arrangements and influence good results, [5].

Most fishermen in Tegal Regency, Central Java Province, are small-scale. Different from fishermen in Tegal City, fishermen in Tegal Regency are dominated by small-scale ones. According to Law No.45/2009, a small fisherman is an individual whose livelihood is catching fish to fulfil his daily life needs using a maximum five-gross-ton-sized fishing boat. Most fishermen in Tegal Regency have a boat of 3-5 GT, [6]. Meanwhile, only a few of them have a boat over 5Gross Ton. Their catching equipment is dominated by *purse seine*, *payang*, *badong*, and *gillnet*. In small-scale fishery, some literature studies argue that fishermen are not always the poorest of the poor (in the case of money ownership). Still, they are the most vulnerable because of high exposure to natural, health or economic shock, and disasters, [7]–[9]. Nayak and Berkes, [10] explain that small-scale fishermen's vulnerability in material, relational, and subjective aspects, covering the material level (covering natural, financial, and physical), relational level, and subjective level (covering human and social capital); thus it is divided into human, physical, capital, social, and financial.

Most of the fishery in the world is small-scale, [11]. SSF Guidelines offer the opportunity to form the high commitment needed by states or other actors to be taken in promoting small-scale fishery sustainability. SSF Guidelines call for states and civil community organizations to take actual actions to bring small-scale fishermen and fishery workers out of poor and marginalized situations that they often suffer on a global scale. Achieving small-scale fishery's sustainability and survival is an ambitious objective, [11]. This is eventually a matter of governance with relatively big complexity and urgency. As such, supporting small-scale fishers and enabling them to develop is not only about their service to the public but also about social and ethical values. In other words, this is smart politics from ecological, economic, and social perspectives and 'the right thing to do', [12].

One of the essential aspects of sustainable development is the actor's role, [13]-[15]. The stakeholders can support providing facilities needed to face the vulnerability of the first level. The actor is an important component since it not only determines how a sustainable objective is achieved but also determines the indicators as the footing of sustainability, [16]. Governance is closely related to the actors involved, [17]. Besides, Sururi, [18] states that collaborative governance can be developed into an innovation policy model for sustainable development. According to the research by Zacharias, [19], in southern Mozambique, coastal communities are most vulnerable to physical, financial and social capital. According to the research conducted by Suharno et al., [20], fishery resources are exploited excessively. Based on such conditions, appropriate rules and policies are needed in the institutional management of fishery resources for their preservation. The research results show that stakeholders involved in fishery management are the subject, audience, actor, and player. Lina M. Saavedra-Díaz et al., [21] in their research state that Colombian small-scale fishermen face various problems and conflicts. While many issues are shared between individuals on the two coasts of the Atlantic and Pacific (bi-coastal), other problems unique to a subset of the community only occur in one of the coasts (uni-coastal) or individual locations. Comparison from previous studies that discussed Coastal communities are vulnerable to physical, financial and social capital and appropriate rules and policies are needed in fisheries resource management institutions. Stakeholders have a role in dealing with these institutions. Stakeholders have a role in dealing with the situation.

Solving these main fishery problems requires establishing a fishery strategy that may prioritize solutions at various levels: national, coastal, and local. This study explains the solutions identified by the three groups of stakeholders: fishermen, local leaders, and fishery experts, to improve small-scale fishery management in Colombia. The specific recommendation here is presented to reform and governance through reconstruct the joint management and to develop consensus among the stakeholders-government and users. The same also occurs with the fishermen in Munjung Agung village who catch fish around the waters of the Karang Jeruk conservation area. The small-scale fishermen face various problems and conflicts and need support from actors who play a role in their lives.

This research aimed to identify the stakeholders' participation in the appropriate governance strategy for small-scale fishermen to face vulnerability along with actor typology based on power and the relationship between actors and actors' attitudes towards the objective. The second aim of this research is to analyze the strategy of how smallscale fishermen survive with their vulnerability based on Stakeholder

2 Materials and Methods

This research used qualitative methods in all stages of the research process. The key stakeholders were taken from Munjung Agung Village or Kampung Nelayan Larangan, Karang Jeruk Conservation Area, Kramat District, Tegal Regency. This research used primary data from 15 stakeholders consisting of academics (Academics), the business player (Business), the government (Government) and community figures (Community). The research's analysis instrument used in the stakeholder analysis was MACTOR (Matrix of Alliances and Conflicts: Tactics, Objectives and Recommendations), [22]-[25] to observe the characteristics, describe the power and attitude of the actors towards the objective of small-scale fishermen development, and the relationship of interest between the actors. The second analysis instrument was ATLAS.ti which was used to answer stakeholder-based adaptation strategies for small-scale fishermen. The in-depth interview results were transcribed and processed using the qualitative analysis software ATLAS.ti 7.0. After the interview, the next step was making codes associated with the transcript; thus, qualitative results would be obtained from the qualitative data.

3 Results and Discussion

The rapid expansion of the human population, depletion, and degradation of surface and ground water resource, frequent drought and climate change are expected to add some pressure to the community that depends on fishery for a living, [26]. However, the survival and sustainability of small-scale fishery and community that relies on it in the suburbs are threatened by a number of factors such as overexploitation of fish resources, water pollution, decreasing quantity of water and climate change. [27]. However, it is not impossible that they cannot have a viable life. An adaptive strategy is needed to reduce fishermen's current and future vulnerability, [28]. Defining a traceable governance strategy for small-scale fishermen requires stakeholders' role, who are those directly involved in fishermen's daily life. From this approach, actor is defined as an entity with a position in the system learned and serving to mobilize their resources to influence the outcome directly or indirectly through their influence on other actors, [16].

3.1 Evaluating the Actors' Balance of Power, Convergence, and Divergence

In the last few decades, researchers have developed various methods to analyze stakeholders' involvement in the governance system, [29]–[31]. The stakeholder analysis (SA) has been a standard instrument for identifying and characterizing stakeholders, [32]. Before entering into governance strategy, we have first identified the stakeholders. This research involved 15 stakeholders involved in small-scale fishermen's daily life around the Karang Jeruk Conservation Area, Tegal Regency. The stakeholders involved some actors from Academics, Business, Government and Community (A-B-G-C).

Table 1. Stakeholder Identification	1
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No	Actor	Role	Strategic Objective
1	Lecturer of the Faculty of Fishery and Marine Affairs of Diponegoro University, Brackish Expert (AcFPIK1)	Contributing notions and ideas for objective planning of small-scale fishermen's vulnerability, playing more role in catching gear, coral reef, and MPA	Sustainable environment, environment al awareness
2	Lecturer of the Faculty of Fishery and Marine Affairs of Diponegoro University, Coral Reef Expert (AcFPIK2)	Contributing notions and ideas for objective planning of small-scale fishermen's vulnerability, playing more role in waste management and forest	Sustainable environment, environment al awareness

		cover	
3	Owner of LKP Pasopati (LKP)	Contributing the view of employment expansion and skill improvement for small-scale fishermen	Education, employment expansion
4	Stall owner (stall)	As common people, owner of stalls around the coast, and as wife of a small-scale fisherman, contributed views, ideas, and power in village development.	Community economy
5	Head of Coastal Fishery Port Office of Larangan (PPP)	Contributing place for governmental activities and fishery business system activities providing a point where boats is moored to, tied to, and/or for fish offload equipped with sailing safety facilities and fishery supporting activities	Port and small-scale fishermen control
6	Head of Wild Fisheries and Coastal Resources Section of the Department of Animal Husbandry, Fishery,	Planning and implementing local development program in the field of wild fishery and coastal resources management	MPA, catching gear, environment al awareness control

	and Marine		
	Affairs of		
	Tegal		
	Regency		
	(DKKD1)		
7	(DKKF1)	Dianning and	Eichormon
/	Stall Of	Flaining and	FISHEITHEIT
	Fishermen	implementing	empowermen
	Empowerm	local	t
	ent of the	development	
	Department	program in the	
	of Animal	field of	
	Husbandry,	fishermen	
	Fishery,	empowerment	
	and Marine		
	Affairs of		
	Tegal		
	Regency		
	(DKKP2)		
8	Head of	Contributing	Fishermen
	TPI	place for fish	welfare
	Larangan	auction, price	
	(TPI)	stabilizing	
		function, and	
		fishermen's	
		welfare	
		function.	
9	Secretary	Creating and	Fishermen
	of KUB	giving inputs	connectivity
	Teri Nasi	of creative	•••••••
	Fishermen	ideas and	
	(KUB)	management	
	(1102)	of tourist	
		destination	
10	Village-	Giving inputs	Development
10	Owned	and ideas on	Development
	Enterprise	development	
	Worker	development	
	(BumDec)		
11	(Buildes) Fish seller	Fish seller that	Good value
11	(Fish	buye	chain
	(FISII-	fishormor's	davalanneset
	seller)	nshermen s	development
		catches in TPI	
		contributes to	
		give inputs	
		and ideas on	
		development	
12	Fisherman	Representative	Eradication
	1	of fishermen	of poverty,
	(fight - 1)	with gillnet	local wisdom
	(fisher1)		conservation
12	Fishermon	Representativo	Fradication
13	$\frac{1}{2}$	of fishermen	of poverty
	<i>–</i>	with catching	local wisdom
		with catching	iocai wisuom
		gear purse	

	(fisher2)	seine	conservation
14	Fisherman 3 (fisher3)	Representative of fishermen with catching gear <i>payang</i>	Eradication of poverty, local wisdom conservation
15	Fisherman 4 (fisher4)	Representative of fishermen with catching gear <i>badong</i>	Eradication of poverty, local wisdom conservation

The stakeholders were then classified into different categories based on their dependence and influence. The stakeholders' dependence and influence were processed using the MACTOR analysis instrument. The analysis mapping matrix was divided into 4 quadrants, namely: a). context setter, b). key players, c). Subject, and d). Crowd.



Fig. 1: Stakeholder Mapping Based on Level of Dependence on Influence

Key player is a party with high dependence and influence in governance attempts in the face of small-scale fishermen's vulnerability. Relay actor are actors expected to play a role in field execution of various decisions. Actors of this type will be the spearhead and determinant of the success of development operation pursuant to their respective capacity and role. This position is taken by the Department of Marine Affairs, Fishery, and Animal Husbandry of Tegal Regency of the Wild Fishery and Coastal Resources Management office, the Department of Marine Affairs, Fishery, and Animal Husbandry of Tegal Regency of Fishermen Empowerment and Coastal Fishery Port Section (PPP), Fish Auction House of Larangan (TPI), KUB Fishermen (Joint Venture Group), and fishermen with gillnet, purse seine, payang, bubu/badong.

The subject was the party with high dependency but low influence. In this research, the subject quadrant was vacant. The context setter category, meanwhile, was the party with high influence but a little dependence and could be a significant risk, thus it needs to be managed. The parties of this group include academics (acFPIK1 and acFPIK2), fish seller, and owner of Course and Training Agency. This party can be classified as a policy user; thus, it needs to be empowered. The crowd category is the party with low influence, such as the administrator of Village-Owned Enterprise and small stall around the coast.

The next measure was measuring the convergence between actors with the objective (using order 2) as presented in figure 2. The graphic of convergence between actors maps the actors related to their convergence, where the closer an actor is to the other, the more intense their convergence is.





Fig. 2: Graphic of Convergence between Actors

Red line shows in figure 2 the level of convergence between actors. Lines of different colours and thickness show differences in the level of convergence between actors. Red convergence between the Department of Fishery, Animal Husbandry, and Marine Affairs (Diponegoro University Academician), KUB, PPP and BumDes shows the closeness/strength of convergence level between the actors.



Fig. 3: Graphic of Divergence between Actors

In regard to divergence, however, actor with relatively high divergence level is fishermen that represent fishermen with catching gear *badong* with stakeholder PPP (coastal fishing port) since fishermen with fishing gear *Badong* and stakeholder PPP (coastal fishing port) tend to be in a passive relationship. This is due to the lack of government programs for fishermen with *badong* fishing gear. *Badong* is a minority fishing gear used by fishermen who catch fish around Karang Jeruk conservation

3.2 Adaptive Capacity and Potential Source of Resilience/Transformation based on stakeholder

Besides examining stakeholders' characteristics by observing the level of influence and dependence and the actors' divergence and convergence patterns, this research analyzed the strategy of how small-scale fishermen survive with their vulnerability based on stakeholder using a qualitative approach with indepth interview with the stakeholders, of which results were transcribed and processed using qualitative analysis software ATLAS.ti 7.0. After the interview, the next step was creating codes with the interview transcript associated, thus quantitative results would be produced from the qualitative data. The quantitative results were used as the measure of emphasis or the extent of informants' perception of the predetermined criteria. The results of code and criteria processing with ATLAS.ti show that there are five indicators prioritized by the stakeholders, thus the results below have been found.



Fig. 4: Network of Relationship between Factors of Criteria (In-depth Interview with Stakeholders)

Based on the results of ATLAS.ti analysis above, there are 6 main variables found from the in-depth interview with the stakeholders. The six variables emphasized by the stakeholders are socialeconomic, institution, Environmental Awareness, Institutional, accessibility, and technology. Table 2 explains the network of relationship between the factors of small-scale fishermen's vulnerability strategy criteria in more detail

4 Discussion

Understanding the roles and coordination between the stakeholders involved is greatly needed in indepth study on small-scale fishermen's vulnerability participation. governance. With stakeholders' minapolitan development will be realised as desired. This is related to the process where the stakeholders influence and share supervision over development initiative and decision as well as resources that may affect them, [33]. Actor convergence illustrates similarity in actors' attitude towards the objective. Actors with similar attitudes will be convergent, while those with different attitudes will be divergent, [25]. The convergence analysis is intended to find out possible points of actors' potential alliance. Convergence maps can be used to determine which actors can cooperate in avoidance of possible conflict.

Table 2 shows the recapitulation results based on the results of in-depth interviews with the stakeholders in the research, which are the explanation of relationship networks arising from the processing by atlas.ti. The indicators used to facilitate analysis on adaptation of Vulnerability and Adaptive Response where the aspects that need to be noted regarding the strategy are explained with 5 important points, namely social-economic, institution, institutional, environmental awareness, and technology.

For the social-economic indicator, the first adaptation is to change the way of life through selfresilience. Stakeholders recommend fishermen to have insurance, both health and labor insurance. This is very important to consider that most of them do not have any health or labor insurance. In case of accident or sickness, they would be eligible to claim the insurance as stated in the research conducted by, [34].

The other issue related to insurance is that they have such insurance, but do not pay the premium, thus it cannot be used when needed. These are the existing important problems in the coastal community of Munjung Agung. According to the stakeholders, an extension is needed around the fishermen, such as opening a branch office near fishermen settlement.

Third, it is to emphasize awareness of the importance of human resources quality through education, both formal and informal. Formal education as per the government's 12-year mandatory education program and informal education to improve skills in other fields. Informal education such as course and training can improve employment opportunity, [35].

Further, with regard to social-economy, facilitation of access to boat fuel is needed. It has been a while that the gas station (SPBU) is inactive. It is expected that the SPBU can be reused, especially with the oil fuel subsidy currently given to small-scale fishermen. The stakeholders also talked about possible tourism around fishermen settlement Munjung Agung. Larangan Coast is a relatively good potential tourism, that the coastal tourism area has even started. The potential tourism certainly be supported should with good accessibility. Good accessibility is quite useful for improvement of local sustainable economy and development, [36]-[38]. In the institutional aspect, the stakeholders create a connection for smooth and ease of connectivity, boat permit control and adaptation to a more modern system. Connectivity is an attribute of adaptive capacity. Adaptive capacity often depends on the following factors: response diversity, collaborative capacity, connectivity, reserves, and learning capacity, [39].

Environmental awareness is also one aspect emphasized by the respondents. The more aware a community of their environment and environmental preservation, environmental awareness will have a positive impact on environmental sustainability and preservation amidst the globalization threat [40].

Table 2. Indicator of Adaptive Capacity and Potential Source of Resilience/Transformation based on stakeholder

Identification	Indicator of Adaptive Capacity	Potential source of resilience/transformation
Social- Economy	Changing way of life through self-resilience	 Having insurance, both for health and labor insurances Insurance office branch near fishermen settlement Emphasizing awareness of the importance of Human Resources quality through formal or informal education and good health`
	Facilitation in accessing fuel for boat	Re-functioning of SPBN (Gas Station for Fishermen)
	• Existence of tourism to provide more employment to surrounding people	 Creating the existing tourism diversity Good tourism accessibility Creating branding to attract tourists
Institution	Creating connectivity for smooth and convenient relationship	 Increasing function and awareness in fishermen organization Creating good relationship between the government, community organization and the society Improving irregular institution
	Adaptation to more modern system	Coaching for institutional modernization
	Boat Permit Control	Controlling and coaching for boat licensing
	Waste management	• Good waste management around tourism area and the environment, by procuring trash bins
	Forest cover	 Improving socialization and awareness related to the importance of natural ecosystem Planting mangrove
Environmental Awareness	• Environmentally friendly catching gear	 Preventing use of non-environmentally-friendly catching gear Prohibition from using non-environmentally-friendly catching gear
	Maintaining Coral Reef Ecosystem	 Restoring coral reef as fish habitat Adding artificial coral reef for new fish habitat Strict regulation related to destruction of coral reef ecosystem Training for surrounding community to maintain and plant coral reef
	Marine Protected Area	 Restrict fishermen to enter conservation area Improving fishermen's awareness related to conservation area
Institutional	Government's Regulation	Supporting regulationSustainable regulation
Accessibility	Improving Accessibility	 Repairing damaged roads River dredging Increasing tourism accessibility
Technology	• Understanding of the importance of technology	 Regular socialization with fishermen Use of technology

Source: primary processed data, 2021

Environmental maintenance and preservation in the coastal development process have the potential for adaptive capability and for the community to adapt to the region's new condition. Considering the importance of environmental preservation, the coastal, and surrounding community can prevent and not cause damage, [41]. A resilient environment and a coastal community's flexibility are the power to protect not only small-scale fishermen, but also the people of coastal communities and avoid conflict. The awareness of environmental preservation will eventually support fishermen settlement development in the Karang Jeruk conservation area.

In regard to the understanding of the importance of technology, the stakeholders recommended the importance of technology for the existence of small-scale fishermen. The research conducted by Benard & Dulle, [42]. The implementation of information and communication technology (TIK) in the traditional fishermen community in Zanzibar, Tanzania states that traditional fishermen's knowledge of weather conditions, fish catching method, market and marketing, and fish conservation and processing is still lacking. The traditional fishermen also still face many constraints in using TIK devices as the means to obtain the information used to catch fish such as lack of fund, bad network connectivity, and lack of training and seminar on the use of TIK, while TIK contributes to improving fishermen's life significantly, [43]. The latest information on weather and market access provided through TIK helps fishermen feel at ease and comfortable at the sea and broaden their market. Another research conducted by Sabu et al, [44] finds that Global Positioning System (GPS) devices and cellular phones are useful to improve fishermen's productivity. In addition, the use of technology is also the effort to realize one of the programs Quick Wins out of the nine agenda of the work cabinet's national development priority 2014-2019 (Nawacita).

5 Conclusions

This research concludes that there are some actors involved in the governance for 'vulnerable yet viable' different rooms of power, among the government, private sector, and the society. The results of analysis on the influence and dependence between actors placed the actors in a strategic context where the actors are expected to appreciate each other's competitive advantages.

The other result is that most of the actors are convergent. Thus, it is necessary to improve collaboration and form a very strong alliance between the convergent actors in order to achieve their objectives. Meanwhile, for divergent actors where there may be potential future conflict, good communication is needed between them. Besides, the results of ATLAS.ti processing identified with the governance strategy recommended by the stakeholders is divided into some main aspects. This includes social-economy, related to life changes for self-resilience; institution, related to strengthening connectivity. transformation of modern system and control; environmental awareness, related to perceived environment as one of the centers of activities for people living in coastal area, with the benefit of maintaining sustainable sea ecosystem being for the fishermen to be able to benefit from them in the future; institution, related to government regulation; accessibility, related to infrastructure improvement; and understanding of the importance of modern technology. This research can be the development of research related to the life of small-scale fishermen based on stakeholders and how the convergence and divergence between stakeholders. This research can also help determine which stakeholders should be involved in consult and the appropriate policies to implement.

Further research can explore the vulnerability of small-scale fishermen from stakeholders' perspective more thoroughly, and also gather information through the FGD method that was presented in this research because of social distancing for the covid-19 pandemic. Lastly, a comparative analysis of various case studies at different, more advanced levels and contexts can improve our findings

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Hapsari Ayu Kusumawardhani carried out the field data, performed the data analysis and wrote an article.

Indah Susilowati as a reviewer and supervisor. criti cally reviewed, updated, expanded and improved the original draft of the manuscript. Hadiyanto as a reviewer and supervisor. critically reviewed, updated, expanded and improved the original draft of the manuscript.

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Conflict of Interest

The authors have no conflicts of interest to declare that are relevant to the content of this article.

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